

**State of Illinois Uniform Notice of Funding Opportunity (NOFO)
Summary Information**

Awarding Agency Name	Transportation
Agency Contact	Adam Gabany (Adam.Gabany@illinois.gov)
Announcement Type	Initial
Type of Assistance Instrument	Grant
Funding Opportunity Number	20-0343-01
Funding Opportunity Title	State and Community Highway Safety/National Priority Safety Program
CSFA Number	494-10-0343
CSFA Popular Name	Section 402 and Section 405
Anticipated Number of Awards	0
Estimated Total Program Funding	\$39,087,686
Award Range	Not Applicable
Source of Funding	Federal
Cost Sharing or Matching Requirements	No
Indirect Costs Allowed	Yes
Restrictions on Indirect Costs	Yes : Sub-grantee - (2CFR Part 200, Appendix VII.D.b) State Interagency - (2 CFR 200.47) Non-Profits - (2 CFR 200.414(f) and 2 CFR Part 200, Appendix IV) Institute for Higher Education - (2 CFR Part 200, Appendix III)
Posted Date	01/25/2018
Application Date Range	
Grant Application Link	http://www.trafficsafetygrantsillinois.org/
Technical Assistance Session	No

NOFO Supplemental (Agency-specific Content for the NOFO)

A. Program Description

The Federal Highway Safety Act of 1966 directed the National Highway Traffic Safety Administration and the Federal Highway Administration of the United States Department of Transportation to jointly administer highway safety projects. Administered by the Illinois Department of Transportation's Bureau of Safety Programs and Engineering, this federal grant program provides funds to eligible entities for highway safety education, training, outreach and enforcement projects.

In FY 2020 IDOT has the following project applications for state agencies:

State Agency - Illinois state agency's are invited to submit an application for FY 2020 (July 1, 2019-June 30, 2020). The highway safety programs available are for occupant protection, impaired driving, police traffic services, traffic records, motorcycle safety, distracted driving, pedestrian/bicycle safety. Strategies to implement are enforcement, training, outreach, and education.

B. Funding Information

On December 4, 2015, the President signed into law the Fixing America's Surface Transportation (FAST) Act that authorizes Federal highway, highway safety, transit and rail programs for five years from the Federal fiscal years (FFY) 2016 through 2020. The FAST Act maintains the current grant programs (i.e., occupant protection, State traffic safety information systems, impaired driving countermeasures, distracted driving, motorcyclist safety, State graduated driver licensing). The FAST Act does add a new grant, non-motorized safety.

Federal funds are not advanced to the state, but instead are reimbursed to the state for activities that are in accordance with federal program guidelines and included in the annual Highway Safety Plan. A state appropriation is secured for all highway safety activities. State agencies that receive highway safety funds operate from this state appropriation, which is subsequently reimbursed with federal funds.

C. Eligibility Information

1. Eligible Applicants

In general the following types of organizations are eligible for BSPE grants:

a. State agencies

All grantees receiving federal highway safety funds must have an acceptable accounting system in existence capable of identifying the federal-related costs separately from their general operating costs.

2. Cost Sharing or Matching if applicable

3. Indirect Cost Rate if applicable

4. Other, if applicable

D. Application and Submission Information

1. Address to Request Information Package

Illinois Department of Transportation
2300 South Dirksen Parkway
Room 007
Springfield, Illinois 62764
DOT.TSgrants@illinois.gov

2. Content and Form of Application Submission

A. Notice of Funding Opportunity (NOFO)

- The NOFO for the FY 2020 Highway Safety Grants can be viewed online at TrafficSafetyGrantsIllinois.org. Read the NOFO carefully to determine if your agency is eligible to apply for one of the available grant programs.
- The NOFO is posted as #20-0343-01, State and Community Highway Safety/National Priority Safety Programs.

B. Grant Accountability and Transparency Act (GATA) Unit

- All applicant agencies must complete [pre-registration forms](#) with the Grant Accountability and Transparency Act (GATA) Unit. Click the link above for detailed instructions and forms. Agencies that registered with GATA in FY 2019 must register again for FY 2020. Applicant agencies should coordinate with their fiscal office when registering.

C. Required Paperwork

- Agencies applying for a Highway Safety Grant, should go to TrafficSafetyGrantsIllinois.org and complete the following forms:
 - 1. Uniform Application for State Grant Assistance**
 - *Fill in application, print entire application, sign signature page, scan entire document, ready to send to IDOT*
 - *Send as PDF named "agency name Application FY20"*
 - 2. Uniform Budget Template**
 - *Fill in budget template, save as a fillable pdf with unsigned signature page, ready to send to IDOT*
 - *Send as PDF named "agency name Budget FY20"*
 - a. Uniform Budget Template Signature Page**
 - *Print signature page, sign, scan as pdf, ready to send to IDOT*
 - *Send as PDF named "agency name Signed Budget FY20"*
 - 3. Grant Attachment or Traffic Records Attachment**
 - *Fill in attachment, saved as fillable pdf, ready to send to IDOT*
 - *Send as PDF named "agency name Attachment FY20"*
 - 4. Programmatic Risk Assessment**

- *Fill in assessment, print entire document, sign signature page, scan entire document with signature as pdf, ready to send to IDOT*
- *Send as PDF named "agency name Risk Assessment FY20"*

5. Uniform Grant Agreement Affidavit of Disclosure of Conflicts of Interest

- *Fill in affidavit, print entire document, sign signature page, scan entire document with signature as pdf, ready to send to IDOT*
- *Send as PDF named "agency name Conflict of Interest FY20"*

- Send required forms as attachments in a single email with a subject line including your agency name and "FY20 application" to DOT.TSgrants@illinois.gov.
- If required forms are missing or not workable, applicant agency will be contacted immediately. A Highway Safety Grant application is not considered complete until all required documents are confirmed as received.
- IDOT will reply with an electronic receipt of delivery once application documents are received. Please allow two-three business days for confirmation.
- If you have any questions regarding the application process, please contact:

Shannon Alderman: 217/557-6670 or Shannon.Alderman@illinois.gov

Jessi Hopkins: 217/557-2564 or Jessica.Hopkins@illinois.gov

3. Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Be registered in SAM.gov before submitting application; provide a valid DUS number in its application; continue to maintain an active SAM registration with current information at all times during which it has been an active Federal, Federal pass-through or State award or an application or plan under consideration by a Federal or State awarding agency

4. Submission Dates and Times

All submissions must be emailed to dot.tsgrants@illinois.gov by 5:00 p.m. on Saturday, March 10, 2018, to be considered as a FY 2020 state agency applicant.

5. Intergovernmental Review, if applicable

6. Funding Restrictions Per the National Highway Traffic Safety Administration (NHTSA) Grant Funding Policy, the allowable funding must meet federal guidelines to be reimbursable. Illinois may request additional information relating to allowable and unallowable cost items from the NHTSA Region 5 Office. Review grant specifications and individual grant budgets for allowable/unallowable costs. Not all costs are allowed in every grant program.

7. Other Submission Requirements

Allowable Costs:

Allowable costs vary by grant program type. Additional details within grant program specifications posted at www.TrafficSafetyGrantsIllinois.org. Federal-specific guidelines can be found in the [CFR 200](#).

E. Application Review Information

1. Criteria

This Notice of Funding Opportunity is to enhance safety measures on Illinois Roadways. Agencies wanting to participate must submit applications and accompanying paperwork to DOT.TSgrants@illinois.gov.

2. Review and Selection Process

Illinois has a process for selecting the appropriate projects for solving our highway safety problems and meeting our highway safety performance goals. The primary goal of all highway safety programs is to achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-state owned roads and tribal roads. All highway safety programs require a strategic, data-driven approach improving highway safety.

3. Anticipated Announcement and State Award Dates, if applicable July 1, 2018

F. Award Administration Information

1. State Award Notices Within 1-3 days of submission of the application to the dot.tsgrants@illinois.gov email, the agency will receive an electronic acknowledgement of receipt of the application. This is not an authorization to begin performance. Once all applications have been reviewed by the Highway Safety Planning Committee and scored, a notice of state award or denial will be sent to each applicant.

2. Administrative and National Policy Requirements

3. Reporting Post-State award reporting requirements will be met by each agency as outline in the Uniform Grant Agreement.

G. State Awarding Agency Contacts

If emailing questions regarding the application process, please put "Application Question" in the subject line of the email and contact DOT.TSGRANTS@illinois.gov.

For programmatic specific questions please contact:

Shannon Alderman
217-557-6670
Shannon.Alderman@illinois.gov

Jessica Hopkins
217-557-2564
Jessica.Hopkins@illinois.gov

H. Other Information – Certifications and Assurances, and other information if applicable

Certifications:

1. **Bribery:** Applicant certifies that it has not been convicted of bribery or attempting to bribe an officer or employee of the State of Illinois, nor made an admission of guilt of such conduct which is a matter of record (30 ILCS 500/50-5).
2. **Bid Rigging:** Applicant certifies that it has not been barred from contracting with a unit of State or local government as a result of a violation of Paragraph 33E-3 or 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3 or 720 ILCS 5/33E-4, respectively).
3. **Debt to State:** Applicant certifies that neither it, nor its affiliate(s), is/are barred from receiving an Award because Applicant, or its affiliate(s), is/are delinquent in the payment of any debt to the State, unless Applicant, or its affiliate(s), has/have entered into a deferred payment plan to pay off the debt, and Applicant acknowledges Grantor may declare the Agreement void if the certification is false (30 ILCS 500/50-11).
4. **Internal Revenue Code Compliance:** Applicant certifies that it does and will comply with all provisions of the Federal Internal Revenue Code (26 USC 1), the Illinois Revenue Act (35 ILCS 5), and all rules promulgated thereunder, including withholding provisions and timely deposits of employee taxes and unemployment insurance taxes.
5. **Lobbying:** Applicant certifies that it has not paid prior grant funds, or upon receiving an Award and Grant Agreement, will not be paying Grant Funds by or on behalf of Applicant to any person for influencing or attempting to influence an officer or employee of any government agency, a member of Congress or Illinois General Assembly, an officer or employee of Congress or Illinois General Assembly, or an employee of a member of Congress or Illinois General Assembly in connection with the awarding of any agreement, the making of any grant, the making of any loan, the entering into of any cooperative or intergovernmental agreement, or the extension, continuation, renewal, amendment or modification of any agreement, grant, loan or cooperative or intergovernmental agreement. 31 USC 1352. Additionally, Applicant certifies that it has filed the required certification under the Byrd Anti-Lobbying Amendment (31 USC 1352), if applicable.
 - i. **Federal Form LLL:** If any funds, other than Federally-appropriated funds, were paid or will be paid to any person for influencing or attempting to influence any of the above persons in connection with a Uniform Application for State Grant Assistance and subsequent Award and Agreement, the undersigned must also complete and submit Federal Form LLL, Disclosure of Lobbying Activities Form, in accordance with its instructions.
 - ii. **Lobbying Costs:** Applicant certifies that it is in compliance with the restrictions on lobbying set forth in 2 CFR Part 200.450. For any Indirect Costs associated with a Grant Agreement pursuant to an Award, total lobbying costs shall be separately identified in the Program Budget, and thereafter treated as other Unallowable Costs.
 - iii. **Procurement Lobbying:** Applicant warrants and certifies that it and, to the best of its knowledge, its sub-grantees, if Applicant intends to use sub-grantees upon issuance of an Award and subsequent Grant Agreement, have complied and will comply with Executive Order No. 1 (2007) (EO 1-2007). EO 1-2007 generally prohibits Grantees and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities of the State, or any other unit of government in Illinois including local governments, if that procurement may result in a contract valued at over \$25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement

- lobbying activity.
- iv. **Sub-awards:** If Applicant is issued an Award and subsequent, Grant Agreement, and intends to utilize sub-Grantees, Applicant certifies it will include the language of this certification in the award documents for any sub-awards made pursuant to this Award at all tiers. All sub-awardees are also subject to certification and disclosure. Pursuant to Appendix II(I) to 2 CFR Part 200, Applicant shall forward all disclosures by contractors regarding this certification to Grantor.
6. **Educational Loan:** Applicant certifies that it is not barred from receiving State awards or agreements as a result of default on an educational loan (5 ILCS 385/1 *et seq.*).
 7. **International Boycott:** Applicant certifies that neither it nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provision of the U.S. Export Administration Act of 1979 (50 USC Appendix 2401 *et seq.* or the regulations of the U.S. Department of Commerce promulgated under that Act (15 CFR Parts 730 through 774).
 8. **Dues and Fees to a Discriminatory Club:** Applicant certifies that it is not prohibited from receiving an Award because it pays dues or fees on behalf of its employees or agents, or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1 *et seq.*).
 9. **Pro-Children Act:** Applicant certifies that it is in compliance with the Pro-Children Act of 2001 in that it prohibits smoking in any portion of its facility used for the provision of health, day care, early childhood development services, education or library services to children under the age of eighteen (18), which services are supported by Federal or State government assistance (except such portions of the facilities which are used for inpatient substance abuse treatment) (20 USC 7181-7184).
 10. **Drug-Free Work Place:** If Applicant is not an individual, Applicant certifies it will provide a drug free workplace pursuant to the Drug Free Workplace Act. 30 ILCS 580/3. If Applicant is an individual and the Award applied for is valued at more than \$5,000, Applicant certifies it shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance during the performance of the Agreement. 30 ILCS 580/4. Applicant further certifies that it is in compliance with the government-wide requirements for a drug-free workplace as set forth in 41 USC 8102.
 11. **Motor Voter Law:** Applicant certifies that it is in full compliance with the terms and provisions of the National Voter Registration Act of 1993 (52 USC 20501 *et seq.*).
 12. **Clean Air Act and Clean Water Act:** Applicant certifies that it is in compliance with all applicable standards, order or regulations issued pursuant to the Clean Air Act (42 USC §7401 *et seq.*) and the Federal Water Pollution Control Act, as amended (33 USC §1251 *et seq.*).
 13. **Debarment:** Applicant certifies that it is not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this Agreement by any Federal department or agency (45 CFR Part 76), or by the State (See 30 ILCS 708/25(6)(G)).
 14. **Non-procurement Debarment and Suspension:** Applicant certifies that it is in compliance with

15. **Grant for the Construction of Fixed Works:** Applicant certifies that all Programs for the construction of fixed works which are financed in whole or in part with funds provided by this Notice of Funding Opportunity shall be subject to the Prevailing Wage Act (820 ILCS 130/0.01 *et seq.*) unless the provisions of that Act exempt its application. In the construction of the Program, Applicant shall comply with the requirements of the Prevailing Wage Act including, but not limited to, inserting into all contracts for such construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the Program shall be paid to all laborers, workers, and mechanics performing work under the Award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract.
16. **Health Insurance Portability and Accountability Act:** Applicant certifies that it is in compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law No. 104-191, 45 CFR Parts 160, 162 and 164, and the Social Security Act, 42 USC 1320d-2 through 1320d-7, in that it may not use or disclose protected health information other than as permitted or required by law and agrees to use appropriate safeguards to prevent use or disclosure of the protected health information. Applicant shall maintain, for a minimum of six (6) years, all protected health information.
17. **Criminal Convictions:** Applicant certifies that neither it nor any officer, director, partner or other managerial agent of Applicant has been convicted of a felony under the Sarbanes-Oxley Act of 2002, nor a Class 3 or Class 2 felony under Illinois Securities Law of 1953, or that at least five (5) years have passed since the date of the conviction. Applicant further certifies that it is not barred from receiving an Award under 30 ILCS 500/50-10.5, and acknowledges that Grantor shall declare the Award and subsequent Grant Agreement void if this certification is false (30 ILCS 500/50-10.5).
18. **Forced Labor Act:** Applicant certifies that it complies with the State Prohibition of Goods from Forced Labor Act, and certifies that, if it receives an Award and subsequent Grant Agreement, no foreign-made equipment, materials, or supplies furnished to the State under the Agreement have been or will be produced in whole or in part by forced labor, convict labor, or indentured labor under penal sanction (30 ILCS 583).
19. **Illinois Use Tax:** Applicant certifies in accordance with 30 ILCS 500/50-12 that it is not barred from receiving an Award under this Paragraph. Applicant acknowledges that the Award and subsequent Grant Agreement may be declared void if this certification is false.
20. **Environmental Protection Act Violations:** Applicant certifies in accordance with 30 ILCS 500/50-14 that it is not barred from receiving an Award. Grantee acknowledges that the Award and subsequent Grant Agreement may be declared void if this certification is false.
21. **Goods from Child Labor Act:** Applicant certifies that no foreign-made equipment, materials, or supplies furnished to the State under a Grant Agreement executed pursuant to an Award have been or will be produced in whole or in part by the labor of any child under the age of twelve (12) (30 ILCS 584).
22. **Federal Funding Accountability and Transparency Act of 2006:** Applicant certifies that it is in

compliance with the terms and requirements of 31 USC 6101. Applicant further certifies it does and will comply with the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (P.L. 109-282) (FFATA) with respect to Federal Awards greater than or equal to \$25,000. A FFATA sub-award report must be filed by the end of the month following the month in which the award was made.

23. **Compliance with Uniform Grant Rules (2 CFR Part 200):** Applicant certifies that it shall adhere to the applicable Uniform Administrative Requirements, Cost Principles, and Audit Requirements, which are published in Title 2, Part 200 of the Code of Federal Regulations, and are incorporated herein by reference. See 44 Ill. Admin. Code 7000.30(b)(1)(A).
24. **Compliance with Non-Discrimination Laws:** Applicant, its employees and subcontractors under subcontract made pursuant to an Award and subsequent Grant Agreement, certifies that it shall comply with all applicable provisions of State and Federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to, the following laws and regulations and all subsequent amendments thereto:
- (a) The Illinois Human Rights Act (775 ILCS 5/1-101 *et seq.*), including, without limitation, 44 Illinois Administrative Code Part 750, which is incorporated herein;
 - (b) The Public Works Employment Discrimination Act (775 ILCS 10/1 *et seq.*);
 - (c) The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a- and 2000h-6). (See *also* guidelines to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons [Federal Register: February 18, 2002 (Volume 67, Number 13, Pages 2671-2685)]);
 - (d) Section 504 of the Rehabilitation Act of 1973 (29 USC 794);
 - (e) The Americans with Disabilities Act of 1990 (42 USC 12101 *et seq.*); and
 - (f) The Age Discrimination Act (42 USC 6101 *et seq.*).

II. Assurances:

1. **Applicant Standing and Authority:** Applicant assures it is duly organized, validly existing and in good standing under the laws of the State in which it was incorporated or organized and that it has the requisite power and authority to:
 - i. Execute and deliver the Uniform Application for State Grant Assistance on behalf of the Applicant;
 - ii. Execute and file the required certifications, assurances, Intergovernmental Agreements and Grant Agreements on behalf of the Applicant binding the Applicant;
 - iii. Execute Grant Agreements, Intergovernmental Agreements, and all other documents to be executed by Applicant in connection with the Award and subsequent Agreement with Grantor on behalf of the Applicant.
 - iv. Upon receiving an award and subsequent Intergovernmental Agreement or Grant Agreement, perform its obligations hereunder and to consummate the transactions contemplated within the Agreement.

- v. If Applicant is organized under the laws of another jurisdiction, Applicant warrants that it is also duly qualified to do business in Illinois and, if applicable, is in good standing with the Illinois Secretary of State.

2. General Assurances:

- i. Applicant assures it will comply with all applicable State, Federal and local laws and State administrative regulations in carrying out any project supported by a State of Illinois Grant Agreement or Intergovernmental Agreement, and any and all license requirements or professional certification provisions.
- ii. Applicant agrees that it is under a continuing obligation to comply with the terms and conditions of the Grant Agreement or Intergovernmental Agreement with Grantor issued for its project.
- iii. Where applicable, Applicant assures it will comply with applicable State and Federal statutes, Federal regulations and Grantor administrative rules regarding confidential records or other information obtained by Applicant concerning persons served under an Intergovernmental Agreement or Grant Agreement. The records and information shall be protected by Applicant from unauthorized disclosure.
- iv. Applicant recognizes that Federal and State laws and regulations may be modified from time to time, and those modifications may affect project implementation. The Applicant understands that Presidential executive orders, executive orders from the Office of the Governor, Federal and State directives, including policies and program guidance may be issued concerning matters affecting the Applicant or the project.
- v. The Applicant agrees that the most recent Federal and State laws, regulations, and directives will apply to the project, unless Grantor issues a written determination otherwise.

- 3. Registration Assurance:** Applicant assures it and any sub-grantees it might intend to utilize shall:
- i. be registered with the Federal SAM;
 - ii. be in good standing with the Illinois Secretary of State, if applicable; and
 - iii. have a valid DUNS number.

Applicant understands its responsibility to remain current with these registrations and requirements. If Applicant's status with regard to any of these requirements change, or the certifications made in and information provided in the Uniform Application for State Grant Assistance changes, Applicant must notify the Grantor.

- 4. Gift Ban Assurance:** Applicant understands it is prohibited from giving gifts to State employees and assures it will not give any gifts pursuant to Officials and Employees Ethics Act (5 ILCS 430/10-10) and Executive Order 15-09.
- 5. Freedom of Information Act (FOIA) Assurance:** Applicant assures that upon request, Applicant shall make available to Grantor all documents in its possession that Grantor deems necessary to comply with requests made under the Freedom of Information Act. (5 ILCS 140/7(2)).

Other information, if applicable:

